

UNITED STATES SECURITIES AND EXCHANGE COMMISSION NEW YORK REGIONAL OFFICE

200 Vesey Street SUITE 400 NEW YORK, NEW YORK 10281-1022

JACK KAUFMAN (212) 336-0106 KaufmanJa@sec.gov

September 16, 2015

By ECF

The Honorable John Gleeson United States District Judge Eastern District of New York United States Courthouse 225 Cadman Plaza East Brooklyn, New York 11201

Re: SEC v. Joseph Simone, et al., 07-cv-3928 (EDNY) (JG)(RML)

Dear Judge Gleeson:

Plaintiff Securities and Exchange Commission respectfully submits the following status report. The Commission has resolved all of its claims in this case. The only ongoing matters concern collection of certain previously-entered final judgments (although the Commission does not presently anticipate raising any such matters with the Court).

Respectfully Submitted,

/s/ Jack Kaufman
Senior Trial Counsel
Division of Enforcement